

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. SP03-132 Total Pages 2
First Named Inventor or Application Identifier: Jacques Gollier et al.
Title : DOUBLE RESONANCE INTERROGATION OF GRATING-COUPLED
WAVEGUIDES
Express Mail Label No. EV327188047US

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on September 30, 2003
(Date)

Signature

Vincent T. Kung

"EXPRESS MAIL" Mailing Label No. EV327188047US

ADDRESS TO:

Mail Stop Patent Application
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

22264 U.S. PTO
10/676352
09/30/03

1. ☒ * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
[Total Pages 38]

2. ☒ Specification
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description

3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 16]
4. Oath or Declaration [Total Pages 2]

a. ☒ Executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☒ 37 C.F.R. § 3.73(b) Statement
(when there is an assignee)

☒ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure
Statement (IDS)/PTO-1449

☐ Copies of IDS
Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

14. ☐ Certified Copy of
Priority Document(s)

15. ☐ Other:

(if foreign priority is
claimed)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
Prior application information: Examiner: _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

22928

or ☐ Correspondence address below

NAME	Corning Incorporated, SP-TI-3-1			ZIP CODE	14831
ADDRESS	Corning	STATE	NY	FAX	(607) 974-3848
CITY	USA	TELEPHONE	607-974-0608		
COUNTRY	Registration No. (Attorney/Agent)			45,797	
Name (Print/Type)	Vincent T. Kung			Date	September 30, 2003
Signature					

16519 U.S. PTO
09/30/03

FEE TRANSMITTAL for FY 2003

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Jacques Gollier, et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP03-132

TOTAL AMOUNT OF PAYMENT (\$822.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\$)			

1001	750		Utility filing fee	750.00
1002	330		Design filing fee	
1003	520		Plant filing fee	
1004	750		Reissue filing fee	
1005	160		Provisional filing fee	

SUBTOTAL (1) (\$750.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	24 - 20** = 4	4 x 18 =	72.00
Independent Claims	3 - 3** = 0	0 x 84 =	00.00
Multiple Dependent	0	=	0.00

**or number previously paid, if greater; For Reissues, see below

Large Entity	Fee	Fee	Fee Description
Code	(\$)		
1202	18		Claims in excess of 20
1201	84		Independent claims in excess of 3
1203	280		Multiple dependent claim, if not paid
1204	84		** Reissue independent claims over original patent
1205	18		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$72.00)

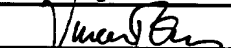
FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\$)			
1051	130		Surcharge - late filing fee or oath	
1052	50		Surcharge - late provisional filing fee or cover sheet	
1053	130		Non-English specification	
1812	2,520		For filing a request for reexamination	
1804	920*		Requesting publication of SIR prior to Examiner action	
1805	1,840		Requesting publication of SIR after Examiner action	
1251	110		Extension for reply within first month	
1252	410		Extension for reply within second month	
1253	930		Extension for reply within third month	
1254	1,450		Extension for reply within fourth month	
1255	1,970		Extension for reply within fifth month	
1401	320		Notice of Appeal	
1402	320		Filing a brief in support of an appeal	
1403	280		Request for oral hearing	
1451	1,510		Petition to institute a public use proceeding	
1452	110		Petition to revive - unavoidable	
1453	1,300		Petition to revive - unintentional	
1501	1,300		Utility issue fee (or reissue)	
1502	470		Design issue fee	
1503	630		Plant issue fee	
1460	130		Petitions to the Commissioner	
1807	50		Petitions related to provisional applications	
1806	180		Submission of Information Disclosure Stmt	
8021	40		Recording each patent assignment per property (times number of properties)	
1809	750		Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
1810	750		For each additional invention to be examined (37 C.F.R § 1.129(b))	
1801	750		Request for Continued Examination (RCE)	
1802	900		Request for expedited examination of a design application	

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY			Completed (if applicable)	
Name (Print/Type)	Vincent T. Kung	Registration No. (Attorney/Agent)	45,797	
Signature		Date	September 30, 2003	